

## Slavery and Human Trafficking Statement Financial year Ending December 31, 2023

This statement is published on behalf of Kroll group which encompasses the following entities in the UK with reference to section 54(1) of the Modern Slavery Act 2015 (the "Act"):

- Kroll International (UK) Limited (company number 09897805)
- Kroll Advisory Ltd (company number 05568550)
- Kroll Associates UK Limited (company number 02020412)
- Kroll Information (UK) Ltd (company number 11500987)
- Kroll Securities Limited (company number: 06029386)
- Kroll Issuer Services Limited (company number: 05098454)
- Kroll Agency and trustee services Ltd (company number: 10638132)
- Kroll Agency Services Limited (company number: 10987833)

Kroll provides proprietary data, technology and insights to help our clients stay ahead of complex demands related to risk, governance and growth. Our solutions span a broad range of client needs, including include business services, compliance and regulation, corporate finance and restructuring, cyber risk, investigations and disputes and valuation. The Kroll Group consists of locations in North America, EMEA and Asia. In the United Kingdom, Kroll Advisory Ltd (which operates through locations in London, Birmingham and Manchester) provides client solutions covering valuations, corporate finance and restructuring, investigations and disputes and compliance and regulation; Kroll Information (UK) Ltd is a London based Cyber Security & Breach Notification practice providing cyber investigation and data breach response services to clients that experience information security and/or privacy incidents; and Kroll Securities Ltd. (also based in London) serves clients with corporate finance, M&A and restructuring advisory needs.

Kroll has taken no specific or additional steps during the period in question to those in place prior to the introduction of the Act to ensure that slavery and human trafficking is not taking place in any of its supply chains or business. The firm is alert to the risks posed by slavery and human trafficking and is compliant with the generally accepted code of conduct and business ethics in this respect. All employees must comply with, and attest to, the firm's Conduct of Business Ethics and Kroll will not conduct business with organisations which, upon enquiry, fail to properly explain their business or whose supply chain is not deemed equivalent to the firm's own high standards.



---

Name: Patrick Puzzuoli  
Title: Chief Financial Officer  
Date: May 14, 2024